

Report on the Verification of the Performance of a NK603 Event-specific Method on Maize Line NK603 Using Real-time PCR

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Joint Research Centre
Institute for Health and Consumer Protection
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Executive Summary

The JRC as Community Reference Laboratory for GM Food and Feed (CRL-GMFF) (see Regulation EC No 1829/2003), has carried out an in-house verification study to assess the performance of the NK603 method to detect and quantify the NK603 transformation event in maize DNA (unique identifier MON-ØØ6Ø3-6). The method has been previously validated on samples represented by certified reference material. The present verification was conducted in order to verify the performance of the validated method on the control samples provided by the applicant as requested by Annex I.2.C.2 to Regulation (EC) No 641/2004 stating that "The method shall be applicable to samples of the food or feed, to the control samples and to the reference material, which is referred to in Articles 5(3)(j) and 17(3)(j) of Regulation (EC) No 1829/2003." The study was conducted according to internationally accepted guidelines ^(1,2).

In accordance to Regulation (EC) No 1829/2003 of 22 September 2003 on genetically modified food and feed and to Regulation (EC) No 641/2004 of 6 April 2004 on detailed rules for the implementation of Regulation (EC) No 1829/2003, Monsanto Company provided the detection method and the control samples (NK603 maize seeds and conventional maize seeds). The JRC prepared the in-house verification samples (calibration samples and blind samples at different GM percentages).

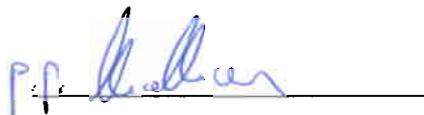
The results of the in-house verification study were evaluated with reference to ENGL method performance requirements (<http://gmo-crl.jrc.it/doc/Method%20requirements.pdf>) and to the results of the full validation (<http://gmo-crl.jrc.it/statusofdoss.htm>).

The results of CRL-GMFF in-house verification study are made publicly available at <http://gmo-crl.jrc.it/>.

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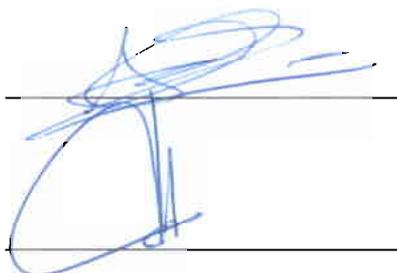
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Report on Steps 1-3 of the Validation Process

Monsanto submitted the detection methods and control samples for the maize line containing event NK603 (unique identifier MON-00603-6) under Article 8 and 20 of Regulation (EC) No 1829/2003 of the European Parliament and of the Council "on genetically modified food and feed".

The Community Reference Laboratory for GM Food and Feed (CRL-GMFF), following reception of the documentation and material, including control samples, (step 1 of the validation process) carried out the scientific assessment of documentation and data (step 2) in accordance with Commission Regulation (EC) No 641/2004 "on detailed rules for the implementation of Regulation (EC) No 1829/2003 of the European Parliament and of the Council as regards the application for the authorisation of new genetically modified food and feed, the notification of existing products and adventitious or technically unavoidable presence of genetically modified material which has benefited from a favourable risk evaluation" and according to its operational procedures ("Description of the CRL-GMFF Validation Process", <http://gmo-crl.jrc.it/guidancedocs.htm>).

The scientific assessment focused on the method performance characteristics assessed against the method acceptance criteria set out by the European Network of GMO Laboratories and listed in the "Definition of Minimum Performance Requirements for Analytical Methods of GMO Testing" (<http://gmo-crl.jrc.it/doc/Method%20requirements.pdf>) (see Annex 1 for a summary of method acceptance criteria and method performance requirements).

The event-specific detection method for the maize line hosting the NK603 event was validated by the CRL-GMFF using certified reference material prepared by the Institute for Reference Materials and Measurements (IRMM) following the conclusion of the respective international collaborative ring trial and the publication of the validation report (<http://gmo-crl.jrc.it/statusofdoss.htm>). Hence, the detection method for NK603 maize did not undergo a full validation process. The CRL-GMFF performed an in-house verification of the detection method to verify the performance of the validated method on the control samples provided by the applicant as requested by Annex I.2.C.2 to Regulation (EC) No 641/2004 "The method shall be applicable to samples of the food or feed, to the control samples and to the reference material, which is referred to in Articles 5(3)(j) and 17(3)(j) of Regulation (EC) No 1829/2003."

In November 2006, the CRL-GMFF concluded the experimental verification of the method characteristics (step 3, experimental testing of the samples and methods) by quantifying five blind GM-levels within the range 0.10%-4.91% on a DNA copy number basis. The experiments were performed under repeatability conditions and demonstrated that the PCR efficiency, linearity, trueness and repeatability of the quantification were within the limits established by the ENGL.

A Technical Report summarising the results of tests carried out by the CRL-GMFF (step 3) is available on request.

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1. Introduction

Monsanto Company submitted the detection method and control samples for maize event NK603 (unique identifier MON-ØØ6Ø3-6) in accordance to Articles 8 and 20 of Regulation (EC) No 1829/2003 of the European Parliament and of the Council "on genetically modified food and feed".

The Joint Research Centre (JRC, Biotechnology and GMOs Unit of the Institute for Health and Consumer Protection) as Community Reference Laboratory for GM Food and Feed (see Regulation EC 1829/2003) carried out an in-house verification of the event-specific method for the detection and quantification of event NK603 maize. The method had been previously validated by an international collaborative trial (<http://gmo-crl.jrc.it/statusofdoss.htm>) using a calibration sample and unknown samples consisting of certified reference material made of mixtures of genetically modified NK603 maize in conventional maize (w/w) between 0.1% and 4.91% (DG-JRC, Institute for Reference Material and Measurement).

Upon reception of the method, samples and related data (step 1), the CRL-GMFF carried out the assessment of the documentation (step 2) and the in-house evaluation of the method (step 3), according to the requirements of Regulation (EC) 641/2004 and following its operational procedures. The in-house method verification was carried out in November 2006.

The operational procedure of the in-house verification included the following module:

- ✓ A method for DNA extraction from NK603 seeds, submitted by the applicant; the protocol for DNA extraction is available at <http://gmo-crl.jrc.it/>;
- ✓ Quantitative real-time PCR (Polymerase Chain Reaction). The methodology consists of an event-specific real-time quantitative TaqMan[®] PCR procedure for the determination of the relative content of event NK603 DNA to total maize DNA. The procedure is a simplex system, in which a maize *Adh1* (*Alcohol dehydrogenase*) endogenous assay (reference gene) and the target assay (NK603) are performed in separate wells.

The study was carried out in accordance to the following internationally accepted guidelines:

- ✓ ISO 5725:1994 ⁽¹⁾.
- ✓ The IUPAC "Protocol for the design, conduct and interpretation of method-performance studies" ⁽²⁾.

2. Materials

For the verification of the quantitative event-specific method, control samples consisting of: whole maize seed of NK603 (Lot Number GLP-0307-14208-S) and whole conventional maize seed (Lot Number GLP-0307-14210-S) were provided by the applicant in accordance to the provisions of Regulation (EC) No 1829/2003, Art 2.11 ["control sample defined as the GMO or its genetic material (positive sample) and the parental organism or its genetic material that has been used for the purpose of the genetic modification (negative sample)]. Genomic DNA was extracted from the control samples according to the procedure described in the Validated Method for NK603 (<http://gmo-crl.jrc.it/statusofdoss.htm>)

Samples containing mixtures of 100% NK603 and non-GM maize genomic DNA at different GMO concentrations were prepared by the CRL-GMFF, using the DNA extracted from the control samples provided, in a constant amount of total maize DNA.

The protocol (reagents, concentrations, primer/probe sequences, amplification profile) followed in the in-house verification are as those already published as validated method for the validation of NK603.

Table 1 shows the five GM levels used in the verification of the NK603 detection method.

Table 1. NK603 GM contents

NK603 GM % (GM copy number/maize genome copy number *100)
0.10
0.49
0.98
1.96
4.91

3. Experimental design

Eight runs using the NK603 method were carried out. In each run, samples were analysed in parallel with both the GM-specific system and the *Adh1* reference system. Five GM-levels per run were examined and two replicates for each GM level were analysed. PCR analysis was performed in triplicate for all samples. In total, quantification of the five GM levels was performed as an average of sixteen replicate samples per GM level. An Excel spreadsheet was used for determination of GM%.

4. Method

Description of operational steps followed

For the specific detection of event NK603, a 108-bp fragment of the integration region of the construct inserted into the plant genome is amplified using two specific primers. PCR products are measured at each cycle (real-time) by means of a target-specific oligonucleotide probe labelled with two fluorescent dyes: FAM is used as the reporter dye at its 5' end and TAMRA as a quencher dye at its 3' end.

For the relative quantification of maize event NK603, a maize-specific reference system amplifies a 70-bp fragment of the maize endogenous gene *Adh1* (*Alcohol dehydrogenase 1*), using a pair of *Adh1* gene-specific primers and an *Adh1* gene-specific probe labelled with FAM and TAMRA.

For relative quantification of event NK603 in a DNA test sample, standard curves are generated both for the NK603 and the *Adh1* reference systems by plotting the Ct values measured for the calibration samples against the logarithm of the DNA copy number and by fitting a regression line into these data. Thereafter, the standard curves are used to estimate the copy numbers in the unknown sample by interpolation from the standard curves.

For the determination of the amount of NK603 DNA in the unknown sample, the NK603 copy number is divided by the copy number of the maize reference gene *Adh1* and multiplied by 100 to obtain the percentage value ($GM\% = GM\text{-specific system}/maize\ reference\ system * 100$).

For detailed information on the preparation of standard curve calibration samples please refer to the protocol of the validated method at <http://gmo-crl.jrc.it/statusofdoss.htm>.

5. Deviations reported

No deviations from the protocol of the two previously validated method was introduced.

6. Summary of results

PCR efficiency and linearity

The values of the slopes of the standard curves, from which the PCR efficiency is calculated using the formula $[10^{(-1/slope)-1}] * 100$, and of the R^2 (expressing the linearity of the regression) are reported in Table 2.

Table 2. Values of standard curve slope, PCR efficiency and linearity (R^2) for the NK603 detection method (NK603 assay and endogenous *Adh1* assay) on NK603 control samples

Run	NK603			<i>Adh1</i>		
	Slope	PCR Efficiency (%)	Linearity (R^2)	Slope	PCR Efficiency (%)	Linearity (R^2)
1	-3.55	91.44	1.00	-3.09	110.8	1.00
2	-3.57	90.51	0.99	-3.53	92.11	1.00
3	-3.69	86.72	0.99	-3.11	109.4	0.99
4	-3.68	87.02	1.00	-3.78	84.01	1.00
5	-3.73	85.37	1.00	-3.16	107.0	0.99
6	-3.80	83.33	1.00	-3.10	110.2	1.00
7	-3.48	93.64	1.00	-3.12	109.1	0.99
8	-3.78	83.81	0.99	-3.13	108.5	0.99
Mean	-3.66	87.73	1.00	-3.25	103.9	1.00

The mean PCR efficiency of the GM specific system was close to 90%, while the mean efficiency of the endogenous assay was close to 100% (103.9%). The linearity of the method was higher than 0.99. Data reported in Table 2 confirm the appropriate performance characteristics of the method tested on control samples.

7. Method performance requirements

The results of the in-house verification study for the NK603 detection method on control sample material are reported in Tables 3. The results are evaluated with respect to the method acceptance criteria, as established by ENGL and adopted by CRL-GMFF (<http://gmo-crl.jrc.it/guidancedocs.htm>, see also Annex 1). Further, Tables 3 details estimates of accuracy and precision for each GM level.

Table 3. Trueness (expressed as bias %) and repeatability standard deviation (%) of the NK603 detection method on control sample of NK603.

NK603					
Unknown sample GM%	Expected value (GMO %)				
	0.10	0.49	0.98	1.96	4.91
Mean	0.12	0.48	1.20	1.89	5.99
SD	0.02	0.05	0.10	0.20	0.94
RSDr (%)	15	9.3	8.7	11	16
Bias %	25	-1.2	22	-3.6	22

The *trueness* of the method is estimated using the measures of the method bias for each GM level. According to the ENGL acceptance criteria and method performance requirements, the accuracy of the quantification, measured as bias from the accepted value, should be $\pm 25\%$ across the entire dynamic range. As shown in Tables 3, the method satisfies the above requirement throughout its dynamic ranges.

Table 3 further documents the *relative repeatability standard deviation (RSD_r)* as estimated for each GM level. In order to accept methods for collaborative ring trial evaluation, the CRL-GMFF requires that RSD_r values be below 25%, as indicated by ENGL (Definition of Minimum Performance Requirements for Analytical Methods of GMO Testing" [<http://gmo-crl.jrc.it/guidancedocs.htm>]).

As it can be observed from the values reported in Tables 3, the method satisfies this requirement across its dynamic range.

8. Comparison of the method performance between the verification and the full validation

A synoptic comparison of the method performance as assessed through the ring-trial carried out on certified reference material and the present verification on control samples is provided in Table 4.

Table 4. Comparison of trueness (bias %) and repeatability standard deviation (%) of the NK603 detection method assessed through in-house verification on control samples and full validation on certified reference materials (CRM)

Trueness and repeatability of NK603 quantification on NK603 control samples			Trueness and repeatability of NK603 quantification on CRM*		
GM%	Bias (%)	RSD _r (%)	GM%	Bias (%)	RSD _r (%)
0.10	24.71	15.10	0.10	83.00	24.25
0.49	-1.23	9.31	0.49	72.86	15.24
0.98	21.96	8.74	0.98	46.50	17.16
1.96	-3.58	10.64	1.96	14.03	7.70
4.91	21.94	15.62	4.91	22.08	21.63

*method validated (<http://gmo-crl.jrc.it/statusofdoss.htm>)

In terms of repeatability, when applied to the control samples the NK603 detection method shows lower RSD_r (%) for four GM levels out of five, compared to the validation results obtained on certified reference material. In terms of trueness, the method verification provided lower bias (%) at four GM levels in comparison to the bias (%) obtained in the full validation; the trueness obtained at the GM level 4.91% is virtually equivalent between the two studies.

Therefore, the in-house method verification has demonstrated that the NK603 method can be equally applied for the quantification of the NK603 event in control samples.

9. Conclusions

The overall method performance of the method for the quantitative detection of event NK603 has been evaluated with respect to the method acceptance criteria and the method performance requirements recommended by the ENGL (as detailed at <http://gmo-crl.jrc.it/guidancedocs.htm>), and to the full validation results obtained on certified reference materials (<http://gmo-crl.jrc.it/statusofdoss.htm>).

The results of the present verification study indicate that the analytical modules of the method submitted by the applicant comply with ENGL performance criteria. The method is therefore applicable to the control samples provided (see paragraph 3 "Materials"), in accordance with the requirements of Annex I-2.C.2 to Commission Regulation (EC) No 641/2004.

10. Quality assurance

The CRL-GMFF carries out all operations according to ISO 9001:2000 (certificate number: CH-32232) and ISO 17025:2005 (certificate number: DAC-PL-0459-06-00) [DNA extraction, qualitative and quantitative PCR in the area of Biology (DNA extraction and PCR method validation for the detection and identification of GMOs in food and feed materials)]

11. References

1. Horwitz, W. (1995) Protocol for the design, conduct and interpretation of method performance studies, *Pure and Appl. Chem*, 67, 331-343.
2. International Standard (ISO) 5725. 1994. Accuracy (trueness and precision) of measurement methods and results. International Organization for Standardization, Genève, Switzerland.
3. Arumuganathan K, Earle ED. 1991. Nuclear DNA content of some important plant species. *Plant Molecular Biology Reporter* 9: 208-218

12. Annex 1: method acceptance criteria and method performance requirements as set by the European Network of GMO Laboratories (ENGL)

Method Acceptance Criteria should be fulfilled at the moment of submission of a method (Phase 1: acceptance for the collaborative study).

Method Performance Requirements should be fulfilled in a collaborative study in order to consider the method as fit for its purpose (Phase 2: evaluation of the collaborative study results).

Method Acceptance Criteria

Applicability

Definition: The description of analytes, matrices, and concentrations to which a method can be applied.

Acceptance Criterion: The applicability statement should provide information on the scope of the method and include data for the indices listed below for the product/s for which the application is submitted. The description should also include warnings to known interferences by other analytes, or inapplicability to certain matrices and situations.

Practicability

Definition: The ease of operations, the feasibility and efficiency of implementation, the associated unitary costs (e.g. Euro/sample) of the method.

Acceptance Criterion: The practicability statement should provide indication on the required equipment for the application of the method with regards to the analysis *per se* and the sample preparation. An indication of costs, timing, practical difficulties and any other factor that could be of importance for the operators should be indicated.

Specificity

Definition: Property of a method to respond exclusively to the characteristic or analyte of interest.

Acceptance Criterion: The method should be event-specific and be functional only with the GMO or GM based product for which it was developed. This should be demonstrated by empirical results from testing the method with non-target transgenic events and non-transgenic material. This testing should include closely related events and cases where the limit of the detection is tested.

Dynamic Range

Definition: The range of concentrations over which the method performs in a linear manner with an acceptable level of accuracy and precision.

Acceptance Criterion: The dynamic range of the method should include the 1/10 and at least 5 times the target concentration. Target concentration is intended as the threshold relevant for legislative requirements. The acceptable level of accuracy and precision are described below. The range of the standard curve(s) should allow testing of blind samples throughout the entire dynamic range, including the lower (10%) and upper (500%) end.

Accuracy

Definition: The closeness of agreement between a test result and the accepted reference value.

Acceptance Criterion: The accuracy should be within $\pm 25\%$ of the accepted reference value over the whole dynamic range.

Amplification Efficiency

Definition: The rate of amplification that leads to a theoretical slope of -3.32 with an efficiency of 100% in each cycle. The efficiency of the reaction can be calculated by the following equation: Efficiency = $[10^{-(1/\text{slope})}] - 1$

Acceptance Criterion: The average value of the slope of the standard curve should be in the range of $(- 3.1 \geq \text{slope} \geq - 3.6)$

R² Coefficient

Definition: The R² coefficient is the correlation coefficient of a standard curve obtained by linear regression analysis.

Acceptance Criterion: The average value of R² should be ≥ 0.98 .

Repeatability Standard Deviation (RSD_r)

Definition: The standard deviation of test results obtained under repeatability conditions. Repeatability conditions are conditions where test results are obtained with the same method, on identical test items, in the same laboratory, by the same operator, using the same equipment within short intervals of time.

Acceptance Criterion: The relative repeatability standard deviation should be below 25% over the whole dynamic range of the method.

Note: Estimates of repeatability submitted by the applicant should be obtained on a sufficient number of test results, at least 15, as indicated in ISO 5725-3 (1994).

Limit of Quantitation (LOQ)

Definition: The limit of quantitation is the lowest amount or concentration of analyte in a sample that can be reliably quantified with an acceptable level of precision and accuracy.

Acceptance Criterion: LOQ should be less than 1/10th of the value of the target concentration with an RSD_r $\leq 25\%$. Target concentration should be intended as the threshold relevant for legislative requirements. The acceptable level of accuracy and precision are described below.

Limit of Detection (LOD)

Definition: The limit of detection is the lowest amount or concentration of analyte in a sample, which can be reliably detected, but not necessarily quantified, as demonstrated by single laboratory validation.

Acceptance Criterion: LOD should be less than $1/20^{\text{th}}$ of the target concentration. Experimentally, quantitative methods should detect the presence of the analyte at least 95% of the time at the LOD, ensuring $\leq 5\%$ false negative results. Target concentration should be intended as the threshold relevant for legislative requirements.

Robustness

Definition: The robustness of a method is a measure of its capacity to remain unaffected by small, but deliberate deviations from the experimental conditions described in the procedure.

Acceptance Criterion: The response of an assay with respect to these small variations should not deviate more than $\pm 30\%$. Examples of factors that a robustness test could address are: use of different instrument type, operator, brand of reagents, concentration of reagents, and temperature of reaction.

Method Performance Requirements

Dynamic Range

Definition: In the collaborative trial the dynamic range is the range of concentrations over which the reproducibility and the trueness of the method are evaluated with respect to the requirements specified below.

Acceptance Criterion: The dynamic range of the method should include the 1/10 and at least five times the target concentration. Target concentration should be intended as the threshold relevant for legislative requirements.

Reproducibility Standard Deviation (RSD_R)

Definition: The standard deviation of test results obtained under reproducibility conditions. Reproducibility conditions are conditions where test results are obtained with the same method, on identical test items, in different laboratories, with different operators, using different equipment. Reproducibility standard deviation describes the inter-laboratory variation.

Acceptance Criterion: The relative reproducibility standard deviation should be below 35% at the target concentration and over the entire dynamic range. An $RSD_R < 50\%$ is acceptable for concentrations below 0.2%.

Trueness

Definition: The closeness of agreement between the average value obtained from a large series of test results and an accepted reference value. The measure of trueness is usually expressed in terms of bias.

Acceptance Criterion: The trueness should be within $\pm 25\%$ of the accepted reference value over the whole dynamic range.